

External Moderation and Benchmarking Policy

Policy Category	Academic
Policy Code	ACA-HE-12
Policy Owner	Dean
Responsible Officer	Dean
Approving authority	Academic Board
Approval date	28 September 2022
Commencement date	29 September 2022
Review date	3 years
Version	2022.2
Related Documents	Academic Quality and Governance Framework
	Quality Assurance Framework
	Academic Continuous Improvement Policy
	Academic Continuous Improvement Procedure
	Course Design Policy
	Course Design Procedure
	Student Assessment Policy
	Student Assessment Procedure
	Staff Code of Conduct
HESF (Threshold Standards) 2021	1.4.1; 5.3.1; 5.3.2; 5.3.3; 5.3.4

1. Purpose

This Policy seeks to establish the requirements for external moderation and benchmarking as a core element of the Course and Unit review cycles at the Australian Institute of Higher Education Pty Ltd ('the Institute') and to ensure higher education operations at the Institute meet national standards and are comparable to other higher education institutions. This Policy is an extension of the *Quality Assurance Framework*.

2. Principles

Key principles informing this Policy and the associated Procedure are:

The Institute encourages benchmarking and external moderation with comparable institutions as a means of identifying comparative strengths and weaknesses, improving performance and assuring quality and standards;

The Institute will take both quantitative and qualitative approaches in its benchmarking and external moderation activities; and

External moderation and benchmarking outcomes will be recorded and reported in order to inform decision-making and improvements.

The key principles informing this Policy are embedded in the Quality Assurance Framework.

3. Context

This Policy has been developed in order to ensure a more coordinated, considered and systematic approach to benchmarking and external moderation activities.



4. Scope

This Policy applies to all staff members and external contributors involved in external moderation or benchmarking activities and to the external moderation and benchmarking activities.

5. Definitions

See the AIH Glossary of Terms for definitions.

6. Policy details

6.1 External Moderation

A least once every three years, the Institute will arrange for each unit of study to be externally moderated to broaden the scope and reliability of the internal moderation process. For further information on the internal moderation process, refer to the Student Assessment Policy and associated Procedure.

External moderators will review the learning outcomes and assessment criteria of each unit of study to ensure they are a consistent and reliable reflection of student achievement.

6.2 Benchmarking

The Institute will engage in four broad types of benchmarking:

Institutional benchmarking – this involves the benchmarking of the institution and departments (E.g. staff ratios, criteria for academic appointments, financial information, student support services, etc.).

Course and Unit benchmarking – this involves the benchmarking of course and unit design, learning outcomes, assessment construction and student performance.

Policy and Process benchmarking – this involves the benchmarking of the Institute's policies and procedures and their efficiency.

Performance benchmarking – this involves the benchmarking of student outcomes (E.g. attrition, retention and completion rates).

The timings of the benchmarking activities will be agreed upon by the Dean in consultation with the Academic Board. The four broad types of benchmarking will be conducted at least once every three years.

6.3 External Moderation and Benchmarking Partners

An external moderation or benchmarking partner should:

- Have a comparable mission, vision and objectives;
- Be a comparable size with a similar student demographic;
- Offer similar courses;
- Have a commitment to quality improvement;
- Have a willingness to share; and



Demonstrate a record of good performance in the area(s) to be benchmarked.

6.4 Confidentiality

All information gathered as part of an external moderation and benchmarking exchange should be treated as confidential. Permission must be granted by all parties before any external communication relating to external moderation or benchmarking activities takes place.

Any breaches of confidentially by employees of the Institute will be handled in accordance with the Staff Code of Conduct.

7. Legislation

This Policy and the associated Procedure comply with Higher Education Standards Framework Standard 5.3 (Monitoring, Review and Improvement), which specifies (in summary) that:

- 5.3.1 All accredited courses of study are subject to periodic (at least every seven years) comprehensive reviews that are overseen by peak academic governance processes and include external referencing or other benchmarking activities.
- 5.3.2 A comprehensive review includes the design and content of each course of study, the expected learning outcomes, the methods for assessment of those outcomes, the extent of students' achievement of learning outcomes, and also takes account of emerging developments in the field of education, modes of delivery, the changing needs of students and identified risks to the quality of the course of study.
- 5.3.3 Comprehensive reviews of courses of study are informed and supported by regular interim monitoring, of the quality of teaching and supervision of research students, student progress and the overall delivery of units within each course of study.
- 5.3.4 Review and improvement activities include regular external referencing of the success of student cohorts against comparable courses of study, including:
- a. analyses of progression rates, attrition rates, completion times and rates and, where applicable, comparing different locations of delivery, and
- b. the assessment methods and grading of students' achievement of learning outcomes for selected units of study within courses of study.

This Policy and the associated Procedure also comply with Higher Education Standards Framework Standard 1.4 (Learning Outcomes and Assessment), which specifies (in summary) that:

1.4.1 The expected learning outcomes for each course of study are specified, consistent with the level and field of education of the qualification awarded and informed by national and international comparators.



8. <u>Version Control</u>

This Policy has been endorsed by the Australia Institute of Higher Education Academic Board as at September 2022 and is reviewed every 3 years. The Policy is published and available on the Australian Institute of Higher Education website http://www.aih.nsw.edu.au/ under 'Policies and Procedures'.

Change and Version Control					
Version	Authored	Brief Description of the changes	Date	Effective	
	by		Approved:	Date:	
2017-1	Registrar	New Policy	19 April 2017	20 April 2017	
2020.1	Dean	Minor Updates	2 December	3 December	
			2020	2020	
2022.1	Registrar	Updated Higher Education Standards	25 May 2022	26 May 2022	
		Framework [Threshold Standard] 2021			
2022.2	CEO/Dean	3-year review cycle, no recommended	28	29	
		changes	September	September	
			2022	2022	